

Date Raised	Item	Recommendation	Responsible Officer	Status	
23/07/23	Agenda Item 7: Corporate Risk Register Quarter 4	1. Provide an information only written update on the work being undertaken to manage the impact of the Eliminate Programme.	Strategic Director for Social Services	1. In Progress – written update to be shared with Committee as an information only update	
23/07/23	Agenda Item 8: Audit Wales and Regulatory Body Six-Month Update	1. Make amendments in future reports to make it clearer on whether the recommendations were completed or in progress (e.g. Carbon Reduction 114, Equality Impact Assessment 116, Asset Management 117). 2. Anonymised recommendations from the Care Inspectorate for Wales inspections to be shared with the Committee, subject to the approval of the Monitoring Officer.	Performance and Programme Manager Performance and Programme Manager / Head of Law and Standards	COMPLETED Actions were considered in preparation of the 6 monthly update presented in January 2024.	Jan 2024
28/09/23	Agenda Item 8: Annual Report on Compliments, Comments and	Future annual reports to build on the data outlined in the report and include demographics of residents providing feedback, and	Complaints Manager	In Progress – this will be considered in preparation of the next Annual Report which	2024

	Complaints Management 2022/23	roadmap of planned improvements.		will be presented in 2024.	
26/10/23	Agenda Item 11: 2023-24 Half Year Treasury Management Monitoring Report	Consider adding in some data from previous years as reference points to show direction of travel	Head of Finance	In Progress - The next update report on TM will be in June/July 2024 and will be incorporated then.	Summer 2024
25/01/24	Agenda Item 4: Internal Audit Plan – Progress (Quarter 3)	Provide comparison date from 12 months ago so that data in Appendix B can be compared to show the direction of travel.	Audit Manager	COMPLETED A comparison from the 12 months prior will be provided within the Annual Opinion Report, which will be presented in May 2024.	
25/01/24	Agenda Item 5: Risk Management Policy	1. Consider the Modern Slavery Act in the context of FEIA development	Performance and Programme Manager	COMPLETED 1. Modern-day slavery covered in procurement documents and contracts. However, if evidence suggests a potential positive or negative impact on particular groups in relation to modern-day slavery, this is included under the relevant part of an FEIA.	

		<p>2. Consider building three elements into the introduction of the plan;</p> <ul style="list-style-type: none"> • The Council's commitment to risk management • Framework for managing risk • Risk appetite 	Performance and Programme Manager	<p>2. Feedback and comments from GAC were considered and included in the Risk management policy which was agreed by Cabinet in March 2024. Rollout to staff will include more detailed guidance.</p>	
25/01/24	<p>Agenda Item 5:</p> <p>Audit Wales and Regulatory Bodies Six-Month Update</p>	<p>1. Encourage remaining members to attend cyber security training and note the Committee's concern that this should be considered mandatory.</p> <p>2. Following the conclusion of current review, testing of business continuity plans to be included in future reports.</p> <p>3. Provision of training materials for member seminars to be followed up outside of the Committee meeting.</p>	<p>Head of People, Policy and Transformation / Democratic and Electoral Services Manager</p> <p>Head of People, Policy and Transformation</p> <p>Democratic and Electoral Services Manager</p>	<p><u>In progress</u></p> <p>1. New date arranged.</p> <p>2. Noted for future work.</p> <p>3. Alternative arrangements for sharing training information and materials for members are being explored.</p>	

		<ol style="list-style-type: none"> 4. Part 2 update on cyber security to be shared with Committee. 5. Make clear in future reports the use of Estyn ratings in Education reports. 6. Make it clear in future reports that Newport City Council is one of the LA's contributing to the findings of the National Fraud Initiative. 	<p>Head of People, Policy and Transformation</p> <p>Performance and Programme Manager</p> <p>Performance and Programme Manager</p>	<ol style="list-style-type: none"> 4. On work programme. 5. Accepted and will be considered in future Regulatory reports to GAC. 6. Accepted and noted for future reports. 	
25/01/24	<p>Agenda Item 8:</p> <p>Update from the Strategic Director: Social Services on the Unsound Opinion for Children Services – Safeguarding Children's Money</p>	<ol style="list-style-type: none"> 1. Complete a follow up inspection and report back to committee, date to be confirmed. 2. Number the paragraphs of future reports. 	<p>Audit Manager / Director of Social Services</p> <p>Director of Social Services</p>	<ol style="list-style-type: none"> 1. A follow up review will be performed in September 2024 and then reported back the Committee. 	
25/01/24	<p>Agenda Item 9:</p> <p>Update from the Strategic Director: Social Services regarding the Internal Audit of Adoption Allowances Resulting in a Third consecutive Unsatisfactory Opinion</p>	<ol style="list-style-type: none"> 1. Complete a follow up inspection and report back to committee by September 2024. 2. Provide additional evidence to support the actions noted as 'completed' in future reports. 	<p>Audit Manager / Director of Social Services</p> <p>Director of Social Services</p>	<ol style="list-style-type: none"> 1. A follow up review will be performed in August 2024 and then reported back the Committee. 	

